

Notice of Allowability

Application No.

09/924,712

Examiner

Minh Dieu Nguyen

Applicant(s)

JANCULA, JEFFREY JOHN

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. ☒ This communication is responsive to 4/2/2007.
2. ☒ The allowed claim(s) is/are 2-12, 14-24, 26-29, 32-40, 42-52, 54-57, 59-67, 69-77, 79-87, 89 and 90.
3. ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
 - a) ☐ All b) ☐ Some* c) ☐ None of the:
 1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

* Certified copies not received: _____.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.
THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.

4. ☐ A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
5. ☐ CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
 - (a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached
 - 1) ☐ hereto or 2) ☐ to Paper No./Mail Date _____.
 - (b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date _____.Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).
6. ☐ DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

Attachment(s)

1. ☐ Notice of References Cited (PTO-892)
2. ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
3. ☐ Information Disclosure Statements (PTO/SB/08),
Paper No./Mail Date _____
4. ☐ Examiner's Comment Regarding Requirement for Deposit
of Biological Material
5. ☐ Notice of Informal Patent Application
6. ☐ Interview Summary (PTO-413),
Paper No./Mail Date _____
7. ☒ Examiner's Amendment/Comment
8. ☒ Examiner's Statement of Reasons for Allowance
9. ☐ Other _____


EMMANUEL L. MOISE
SUPERVISORY PATENT EXAMINER

EXAMINER'S AMENDMENT

1. An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Susan Jackson on 4/12/07.

2. The application has been amended as follows:

In claim 2, the paragraphs

"adding verifying information to said document about at least two of the parties to said document in order to validate said document;"

and

"wherein at least two of the parties add respective preselected expiration times to said document in order to validate said document, said other of the parties permitting said communication there between only if the earliest expiration time has not passed."

have been changed to

--adding verifying information about at least two of the parties to said document in order to validate said document;--

and

--wherein at least two of the parties add respective preselected expiration times to said document in order to validate said document, said other of the parties permitting

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said communication of the confidential information there between only if the earliest expiration time has not passed.--

In claim 24, the paragraph

“wherein at least two of the parties add respective preselected expiration times to said electronic ticket in order to validate said electronic ticket, said other of the parties permitting said communication there between only if the earliest expiration time has not passed.”

has been changed to

--wherein at least two of the parties add respective preselected expiration times to said electronic ticket in order to validate said electronic ticket, said other of the parties permitting said communication of the confidential information there between only if the earliest expiration time has not passed.--

In claim 43, the paragraph

“preventing said other of the parties from permitting said communication of the confidential information unless said document is valid, further comprising computer-executable instructions thereon for performing the steps of receiving and adding a preselected expiration time from each of at least two of the parties to said document in order to validate said document, and preventing said other of the parties from permitting said communication if the earliest expiration time has passed.”

has been changed to

--preventing said other of the parties from permitting said communication of the confidential information unless said document is valid, further comprising computer-executable instructions thereon for performing the steps of receiving and adding a preselected expiration time from each of at least two of the parties to said document in order to validate said document, and preventing said other of the parties from permitting said communication of the confidential information if the earliest expiration time has passed.--

In claim 80, the paragraphs

"adding verifying information to said document about at least two of the parties to said document in order to validate said document, at least a portion of said document being encrypted;"

and

"said other of the parties permitting said communication of the confidential information there between only if said document is valid, wherein at least two of the parties add respective preselected expiration times to said document in order to validate said document, said other of the parties permitting said communication there between only if the earliest expiration time has not passed."

have been changed to

--adding verifying information about at least two of the parties to said document in order to validate said document, at least a portion of said document being encrypted;"

and

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--said other of the parties permitting said communication of the confidential information there between only if said document is valid, wherein at least two of the parties add respective preselected expiration times to said document in order to validate said document, said other of the parties permitting said communication of the confidential information there between only if the earliest expiration time has not passed.--

Claims 91-100 have been canceled.

Allowable Subject Matter

3. This action is in response to the communication dated 4/2/2007 with the amendments to claims 2-3, 6, 14-17, 22-24, 26-29, 34, 36-38, 42-44, 46-47, 54-57, 59-63, 69-73, 79-84 and 90-91 and the cancellation of claims 1, 13, 25, 30-31, 41, 53, 58, 68, 78 and 88.

4. Claims 2-12, 14-24, 26-29, 32-40, 42-52, 54-57, 59-67, 69-77, 79-87 and 89-90 are allowed.

5. The following is an examiner's statement of reasons for allowance:

The present invention is directed to a method that permits one or more third-party agents to access customers' private personal and financial data or other confidential information on the world-wide-web. Using the present invention, a tamper-resistant security document, such as an electronic document, known as a ticket, is created and approved by two consenting parties to allow a third party (or even more parties) to

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access private and confidential personal and financial data on the Internet (world-wide-web). The electronic ticket or other types of security documents can also have a limited lifetime, allowing the consenting parties to control the third party's duration of access (see specification, paragraph 0033) (i.e. "wherein at least two of the parties add respective preselected expiration times to said document in order to validate said document, said other of the parties permitting said communication of the confidential information there between only if the earliest expiration time has not passed" in claims 2, 24, 27, 34, 43, 55, 60, 70 and 80). The closest prior arts fail to anticipate or render the above limitations obvious.


Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

6. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Minh Dieu Nguyen whose telephone number is 571-272-3873.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Emmanuel Moise can be reached on 571-272-3865. The fax phone number for the organization where this application or proceeding is assigned is (571) 273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).


mdn
4/13/07


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